

# OSTEP Chapter 4

*ECE 3600, Fall 2022*

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## 1. Processes

process = running program

with associated address space, registers (PC, SP, FP), and I/O

time sharing = virtualized CPU

context switch mechanism, scheduling policy

process API: create, kill, wait, control, status

## 2. Loading

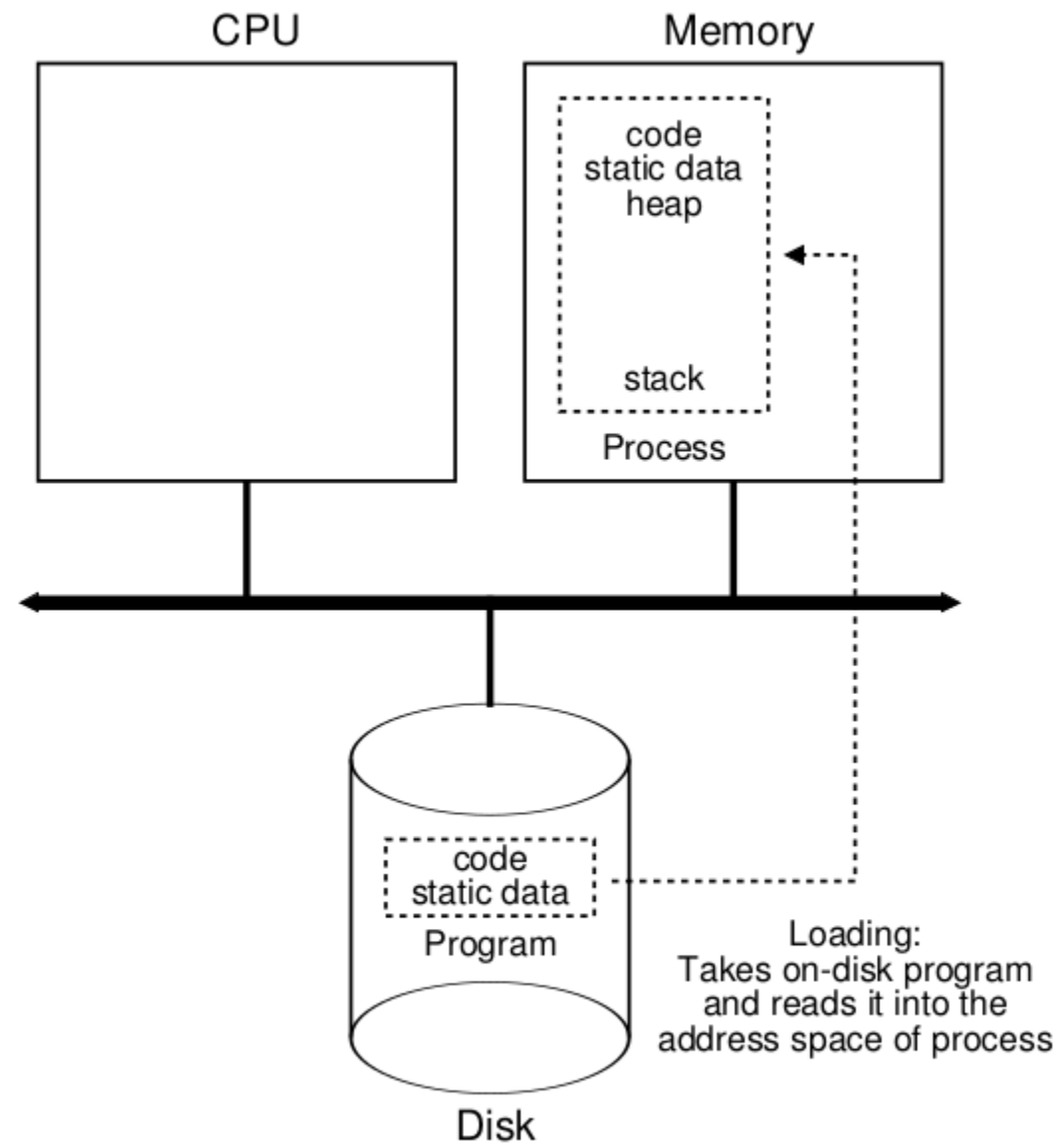


Figure 4.1: Loading: From Program To Process

### 3. States

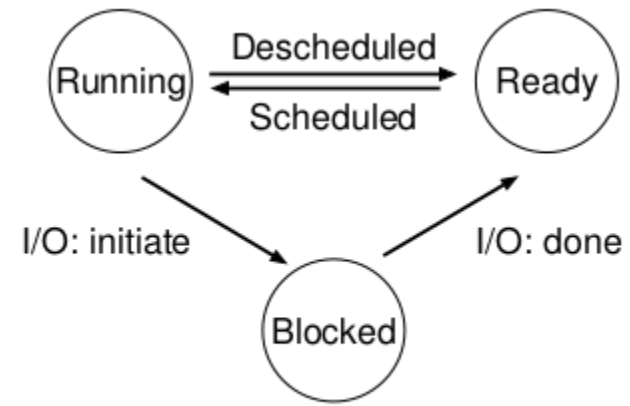


Figure 4.2: Process: State Transitions

## 4. Trace: CPU

| Time | Process <sub>0</sub> | Process <sub>1</sub> | Notes                         |
|------|----------------------|----------------------|-------------------------------|
| 1    | Running              | Ready                |                               |
| 2    | Running              | Ready                |                               |
| 3    | Running              | Ready                |                               |
| 4    | Running              | Ready                | Process <sub>0</sub> now done |
| 5    | -                    | Running              |                               |
| 6    | -                    | Running              |                               |
| 7    | -                    | Running              |                               |
| 8    | -                    | Running              | Process <sub>1</sub> now done |

Figure 4.3: Tracing Process State: CPU Only

## 5. Trace: CPU and I/O

| Time | Process <sub>0</sub> | Process <sub>1</sub> | Notes                              |
|------|----------------------|----------------------|------------------------------------|
| 1    | Running              | Ready                |                                    |
| 2    | Running              | Ready                |                                    |
| 3    | Running              | Ready                | Process <sub>0</sub> initiates I/O |
| 4    | Blocked              | Running              | Process <sub>0</sub> is blocked,   |
| 5    | Blocked              | Running              | so Process <sub>1</sub> runs       |
| 6    | Blocked              | Running              |                                    |
| 7    | Ready                | Running              | I/O done                           |
| 8    | Ready                | Running              | Process <sub>1</sub> now done      |
| 9    | Running              | -                    |                                    |
| 10   | Running              | -                    | Process <sub>0</sub> now done      |

Figure 4.4: Tracing Process State: CPU and I/O

## 6. Exercises

Exercises from the book using [process-run.py](#):

1. Run process-run.py with the following flags: `-l 5:100,5:100`. What should the CPU utilization be (e.g., the percent of time the CPU is in use?) Why do you know this? Use the `-c` and `-p` flags to see if you were right.
2. Now run with these flags: `./process-run.py -l 4:100,1:0`. These flags specify one process with 4 instructions (all to use the CPU), and one that simply issues an I/O and waits for it to be done. How long does it take to complete both processes? Use `-c` and `-p` to find out if you were right.
3. Switch the order of the processes: `-l 1:0,4:100`. What happens now? Does switching the order matter? Why? (As always, use `-c` and `-p` to see if you were right)